

# Special holonomy in Lorentzian geometry

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# Holonomy group of a linear connection

- Let  $M$  be a smooth manifold and  $\nabla$  a linear connection.
- $\leadsto$  Parallel transport along  $\gamma : [0, 1] \rightarrow M$ , piecewise smooth,

$$\mathcal{P}_\gamma : T_{\gamma(0)}M \ni X_0 \xrightarrow{\sim} X(1) \in T_{\gamma(1)}M$$

where  $X(t)$  is the solution to the ODE  $\nabla_{\dot{\gamma}(t)}X(t) \equiv 0$  with  $X(0) = X_0$ .

For  $p \in M^n$  we define the (Connected) Holonomy group

$$\text{Hol}_p^0(M, \nabla) := \left\{ \mathcal{P}_\gamma \mid \gamma(0) = \gamma(1) = p, \gamma \sim \{p\} \right\} \subset \text{GL}(T_pM) \simeq \text{GL}(n, \mathbb{R})$$

and its Lie algebra  $\mathfrak{hol}_p(M, \nabla)$ .

- For  $p, q \in M$ :  $\text{Hol}_p(M, \nabla) \overset{\text{conjugated in } \text{GL}(n, \mathbb{R})}{\sim} \text{Hol}_q(M, \nabla)$
- If  $\nabla = \text{LC}$  of a metric  $g$  on  $M$ , then  $\text{Hol}_p(M, g) \subset \text{O}(T_pM, g) = \text{O}(t, s)$ .

## Holonomy and curvature

- Recall that  $\nabla$  and  $\mathcal{P}_\gamma$  are related via

$$\nabla_{\dot{\gamma}(0)} X|_p = \frac{d}{dt} \left[ \mathcal{P}_{\gamma|_{[0,t]}}^{-1} (X(\gamma(t))) \right] |_{t=0}.$$

- This implies for the curvature  $\mathcal{R}$  of  $\nabla$ : Let  $X, Y \in T_p M$  and  $\lambda_t$  the loop along the parallelogram at  $p$  with sides  $\sqrt{t}X$  and  $\sqrt{t}Y$ . Then

$$\mathcal{R}(X, Y)|_p = \lim_{t \rightarrow 0} \frac{1}{t} (\mathcal{P}_{\lambda_t} - Id_{T_p M}).$$

Hence,  $\mathcal{R}(X, Y)|_p \in \mathfrak{hol}_p(M, \nabla)$  for all  $X, Y \in T_p M$ .

- One has to collect curvature all over  $M$  to get all of  $\mathfrak{hol}_p(M, \nabla)$ :

### Theorem (Ambrose-Singer '53)

If  $M$  is connected, then  $\mathfrak{hol}_p(M, \nabla)$  is spanned by

$$\left\{ \mathcal{P}_\gamma^{-1} \circ \mathcal{R}(X, Y) \circ \mathcal{P}_\gamma \in \text{GL}(T_p M) \mid \gamma(0) = p \text{ and } X, Y \in T_{\gamma(1)} M \right\}$$

## Classification: Which groups occur as holonomy groups?

- **Hano/Ozeki '56**: Any closed  $G \subset GL(n, \mathbb{R})$ ! But  $\nabla$  might have torsion.
- Conditions on the **torsion**  $T^\nabla$  of  $\nabla$ , e.g.  $T^\nabla = 0$  or  $T^\nabla \in \Lambda^3 TM$   
 $\leadsto$  algebraic constraints on the holonomy representation:

$$\mathcal{R}_\gamma := \mathcal{P}_\gamma^{-1} \circ \mathcal{R}(\mathcal{P}_\gamma(\cdot), \mathcal{P}_\gamma(\cdot)) \circ \mathcal{P}_\gamma \in \Lambda^2(T_p^*M) \otimes GL(T_pM)$$

Now, if  $T^\nabla = 0$ , then  $\mathcal{R}$  and hence  $\mathcal{R}_\gamma$  satisfy the Bianchi identity:

$$\mathcal{R}_\gamma(X, Y)Z + \mathcal{R}_\gamma(Y, Z)X + \mathcal{R}_\gamma(Z, X)Y = 0.$$

$\implies \mathfrak{hol}_p(M, \nabla)$  is a **Berger algebra**: For  $\mathfrak{g} \subset \mathfrak{gl}(n, \mathbb{R})$  define the  $\mathfrak{g}$ -module:

$$\mathcal{K}(\mathfrak{g}) := \left\{ R \in \Lambda^2 \mathbb{R}^{n*} \otimes \mathfrak{g} \mid R(x, y)z + R(y, z)x + R(z, x)y = 0 \right\}$$

$\mathfrak{g}$  is a **Berger algebra**  $:\iff \mathfrak{g} = \text{span} \{R(x, y) \mid R \in \mathcal{K}(\mathfrak{g}), x, y \in \mathbb{R}^n\}$ .

$T^\nabla = 0$ : Ambrose-Singer  $\implies \mathfrak{hol}_p(M, \nabla)$  is a Berger algebra.

Classification of **irreducible** Berger algebras

- $\mathfrak{g} \subset \mathfrak{so}(t, s)$  [**Berger '55**],  $\mathfrak{g} \subset \mathfrak{gl}(n, \mathbb{R})$  [**Schwachhöfer/Merkulov '99**].

## Holonomy and geometry

Let  $V$  be a “geometric” vector bundle over  $M$  with connection  $\nabla$ . Then:

$$\{v \in V_p \mid \text{Hol}_p(M, \nabla)(v) = v\} \ni v \simeq \varphi := \mathcal{P}_\gamma(v) \in \{\varphi \in \Gamma(V) \mid \nabla\varphi = 0\}$$

$$\{V \subset T_p M \mid \text{Hol}_p(M, \nabla)(V) \subset V\} \simeq \{\text{Distrib. } \mathcal{V} \subset TM \mid \mathcal{P}_\gamma(\mathcal{V}) \subset \mathcal{V}\}$$

$$\mathcal{P}_\gamma(\mathcal{V}) \subset \mathcal{V} \iff \nabla_X : \Gamma(\mathcal{V}) \rightarrow \Gamma(\mathcal{V}), \text{ in particular, } \mathcal{V} \text{ is integrable.}$$

Decomposition of a semi-Riemannian manifold  $(M, g)$ :

If  $V \subset T_p M$  is  $\text{Hol}(M, g)$ -invariant, non-degenerate (i.e.  $V \cap V^\perp = \{0\}$ ), i.e.  $T_p M = V \oplus V^\perp$  invariant decomposition, then

$$(M, g) \stackrel{\text{locally}}{\simeq} (N, h) \times (N^\perp, h^\perp)$$

with  $V^{(\perp)} \simeq T_p N^{(\perp)}$  as  $\text{Hol}_p(M, g)$ -module.

## De Rham–Wu decomposition

Complete decomposition of  $T_p M$  into  $Hol_p(M, g)$ –modules:

$$T_p M = \bigoplus_{i=0}^k V_i, \text{ with } V_0 \text{ trivial and } V_i \text{ indecomposable for } i > 0$$

non-degenerate and only de-  
generate invariant subspaces

Theorem (de Rham '52, Wu '64)

Let  $(M, g)$  be semi-Riemannian, *complete* and *simply connected*.

Then there is a  $k > 0$ :

$$(M, g) \stackrel{\text{globally}}{\simeq} (M_1, g_1) \times \dots \times (M_k, g_k)$$

- $(M_i, g_i)$  complete and 1-connected,
- $(M_i, g_i)$  flat or with *indecomposable* holonomy representation,
- $Hol_p(M, g) \simeq Hol_{p_1}(M_1, g_1) \times \dots \times Hol_{p_k}(M_k, g_k)$ .

**Manifold of special holonomy:** Indecomposable holonomy  $\not\subseteq SO(t, s)$ .

# Holonomy of Riemannian manifolds $(M, g)$

Positive definite metric  $\implies$  indecomposable = irreducible  
 $\implies \text{Hol}_p(M, g) \simeq$  product of irreducible holonomy groups.

## Berger's list ('55)

Let  $(M, g)$  be 1-connected, irred., not loc. symm. Then  $\text{Hol}_p(M, g) \overset{O(n)}{\simeq}$

|                             | $SO(n)$ | $U(\frac{n}{2})$ | $SU(\frac{n}{2})$ | $Sp(\frac{n}{4})$ | $Sp(1) \cdot Sp(\frac{n}{4})$   | $G_2$      | $Spin(7)$  |
|-----------------------------|---------|------------------|-------------------|-------------------|---------------------------------|------------|------------|
|                             | generic | Kähler           |                   | hyper Kähler      | quat. Kähler                    |            |            |
| par. field                  | none    | $J$              |                   | $J_1, J_2, J_3$   | $\langle J_1, J_2, J_3 \rangle$ | $\omega^3$ | $\omega^4$ |
| Ric                         | —       | $\neq 0$         | 0                 | 0                 | $\Lambda \cdot g$               | 0          | 0          |
| $\dim\{\nabla\varphi = 0\}$ | 0       | 0                | 2                 | $\frac{n}{4} + 1$ | 0                               | 1          | 1          |

- Complete mf's: Calabi (SU, Sp), LeBrun (qK), Bryant ( $G_2$ , Spin(7)).
- Compact mf's: Yau (SU), Beauville, Mukai (Sp), LeBrun-Salamon (qK), Joyce ( $G_2$ , Spin(7)).



# Special Lorentzian holonomy

Yau '93: "Berger has classified holonomy groups for Riemannian manifolds. If the metric is not Riemannian but allows different signature, the corresponding theorem of Berger should exist. ... In particular, classify complete Lorentzian manifolds with parallel spinors."

## Wu–Decomposition for a Lorentz manifold $(M, g)$

Let  $(M, g)$  be a complete, simply-connected Lorentzian manifold  $\implies$

$$(M, g) \simeq (\overline{M}, \overline{g}) \times \underbrace{(N_1, g_1) \times \dots \times (N_k, g_k)}_{\text{Riemannian, irreducible or flat}}$$

↑

Lorentzian manifold which is either

- 1  $(\mathbb{R}, -dt^2)$ , or
- 2 irreducible, i.e.  $\text{Hol}_p(\overline{M}, \overline{g}) = \text{SO}_0(1, n)$ , or
- 3 indecomposable, non-irreducible

## Algebraic preliminaries

Hence, an indecomposable Lorentzian manifold has **special holonomy**

$\iff$  its holonomy admits a degenerate invariant subspace

$\iff$  it admits a **parallel null line**.

We have to consider  $H \subset SO_0(1, n-1)$  indecomposable, non-irreducible, i.e.  $\exists V \subset \mathbb{R}^{1, n-1} : H(V) \subset V$  such that

$L := V \cap V^\perp \neq \{0\}$  is a  $H$ -invariant, totally null line in  $\mathbb{R}^{1, n-1}$

$$\Rightarrow H \subset \text{Iso}_{SO_0(1, n-1)}(L) = (\mathbb{R}^+ \times SO(n-2)) \ltimes \mathbb{R}^{n-2}$$

$$\text{Change basis of } \mathbb{R}^{1, n-1}: \mathfrak{h} \subset \left\{ \left( \begin{array}{ccc|c} a & v^t & 0 & a \in \mathbb{R}, \\ 0 & A & -v & v \in \mathbb{R}^{n-2}, \\ 0 & 0^t & -a & A \in \mathfrak{so}(n-2) \end{array} \right) \right\}$$

The **orthogonal part** is reductive:

$$\mathfrak{g} := \text{pr}_{\mathfrak{so}(n-2)} \mathfrak{h} = \underbrace{\mathfrak{z}}_{\text{centre}} \oplus \underbrace{\mathfrak{g}'}_{= [\mathfrak{g}, \mathfrak{g}] \text{ semisimple}} \quad (\text{Levi-decomposition})$$

## Parallel null line and screen bundle

Let  $(M, g)$  be a Lorentzian manifold with

$$H := \text{Hol}_p(M, g) \subset \text{Iso}(L) = (\mathbb{R}^+ \times \text{SO}(n-2)) \times \mathbb{R}^{n-2}.$$

- $L$  defines a filtration  $L \subset L^\perp \subset TM$  with parallel null line  $\mathcal{L}$  and parallel null hypersurface  $\mathcal{L}^\perp$ .
- If  $H \subset \text{SO}(n-2) \ltimes \mathbb{R}^{n-2}$ , then  $\exists$  parallel null vector field (**Brinkmann wave**).
- What about  $G := \text{pr}_{\text{SO}(n-2)} \text{Hol}_p(M, g) \subsetneq \text{SO}(n-2)$ ?

### Proposition (TL '05)

The vector bundle (“screen bundle”)  $\mathcal{S} = L^\perp/L$  with covariant derivative  $\nabla_U^{\mathcal{S}}[V] := [\nabla_U V]$  satisfies  $\text{pr}_{\text{SO}(n-2)} \text{Hol}_p(M, g) = \text{Hol}_p(\mathcal{S}, \nabla^{\mathcal{S}})$ .

- Hence, algebraic structures for  $G$  correspond to geometric structures on  $\mathcal{S}$ , e.g. product structure, parallel complex structure etc.
- Which  $G$ 's can occur?

# Classification 1: $\mathfrak{h} \subset \mathfrak{iso}_{\mathfrak{so}(1,n-1)}(L)$ indecomposable

## Theorem (Berard-Bergery/Ikemakhen '96)

For  $\mathfrak{h}$  with  $\mathfrak{g} := \mathfrak{pr}_{\mathfrak{so}(n-2)}\mathfrak{h} = \mathfrak{z} \oplus [\mathfrak{g}, \mathfrak{g}]$  there are the following cases:

$\mathbb{R}^{n-2} \subset \mathfrak{h}$  – **Type I:**  $\mathfrak{h} = (\mathbb{R} \oplus \mathfrak{g}) \ltimes \mathbb{R}^{n-2}$ .

**Type II:**  $\mathfrak{h} = \mathfrak{g} \ltimes \mathbb{R}^{n-2}$ .

**Type III:**  $\exists \varphi : \mathfrak{z} \rightarrow \mathbb{R} : \mathfrak{h} = \left\{ \left( \begin{array}{ccc|c} \varphi(A) & v^t & 0 & A \in \mathfrak{z} \\ 0 & A+B & -v & B \in \mathfrak{g}' \\ 0 & 0 & -\varphi(A) & v \in \mathbb{R}^{n-2} \end{array} \right) \right\}$

$\mathbb{R}^{n-2} \not\subset \mathfrak{h}$  – **Type IV:**  $\exists \varphi : \mathfrak{z} \rightarrow \mathbb{R}^k$ , for  $0 < k < n-2$ :

$\mathfrak{h} = \left\{ \left( \begin{array}{ccc|c} 0 & \psi(A)^t & v^t & 0 \\ 0 & 0 & 0 & -\psi(A) \\ 0 & 0 & A+B & -v \\ 0 & 0 & 0 & 0 \end{array} \right) \left| \begin{array}{l} A \in \mathfrak{z} \\ B \in \mathfrak{g}' \\ v \in \mathbb{R}^{n-2-k} \end{array} \right. \right\}$

**Note:** Groups of coupled type III and IV can be **non-closed**, first examples in Berard-Bergery/Ikemakhen '96

## Classification II: Let $\mathfrak{h} \subset \mathfrak{iso}_{\mathfrak{so}(1,n-1)}(L)$ be indecomposable

### Theorem (TL '03)

If  $\mathfrak{h}$  is a Berger algebra (e.g. a Lorentzian holonomy algebra), then  $\mathfrak{g} := \text{proj}_{\mathfrak{so}(n-2)}\mathfrak{h}$  is a Riemannian holonomy algebra (and hence known to be a product of algebras from Berger's list).

Idea of the proof: For  $\mathfrak{g} \subset \mathfrak{so}(n)$  define **weak curvature endomorphisms**:

$$\mathcal{B}(\mathfrak{g}) := \left\{ Q \in \text{Hom}(\mathbb{R}^n, \mathfrak{g}) \mid \langle Q(x)y, z \rangle + \langle Q(y)z, x \rangle + \langle Q(z)v, y \rangle = 0 \right\}.$$

$$\mathfrak{g} \text{ is a weak Berger algebra} : \iff \mathfrak{g} = \text{span}\{Q(x) \mid Q \in \mathcal{B}(\mathfrak{g}), x \in \mathbb{R}^n\}$$

[TL '02] If  $\mathfrak{h} \subset \mathfrak{iso}_{\mathfrak{so}(1,n-1)}(L)$  is an indecomposable Berger algebra, then  $\mathfrak{g} := \text{proj}_{\mathfrak{so}(n-2)}(\mathfrak{h})$  is a weak Berger algebra. Classify them  $\implies$  result.  $\square$

### Theorem (Berard-Bergery-Ikemakhen '96, Boubel '00, TL '03, [Galaev '05](#))

If  $\mathfrak{g} := \text{proj}_{\mathfrak{so}(n-2)}\mathfrak{h}$  is a Riemannian holonomy algebra, then there is a Lorentzian metric  $h$  with  $\mathfrak{h} \cap \mathfrak{l}_p(h) = \mathfrak{h}$ .



# Lorentzian Einstein manifolds

## Theorem (Galaev/TL '06)

The holonomy of an indecomposable non-irreducible Lorentzian *Einstein* manifold is *uncoupled*, i.e.

$$\text{Hol}_p^0(M, g) = \begin{cases} (\mathbb{R}^+ \times G) \ltimes \mathbb{R}^{n-2}, & \text{or} \\ G \ltimes \mathbb{R}^{n-2} \end{cases}$$

with a Riemannian holonomy group  $G$ . Furthermore:

- If  $\text{Hol}_p^0(M, g) = G \ltimes \mathbb{R}^{n-2}$ , then  $\text{Ric} = 0$  and  $G = \text{Holonomy of Ricci-flat Riemannian manifold, i.e. } G = \text{product of } \text{SO}(n), \text{SU}(p), \text{Sp}(q), \text{G}_2, \text{ and Spin}(7).$

# Coordinates

## Theorem (Brinkmann'25, Walker'49)

For a Lorentzian manifold  $(M, h)$  with parallel null line  $\mathcal{L}$  there are coordinates  $(v, x^1, \dots, x^{n-2}, u)$ :  $\frac{\partial}{\partial v}$  spans  $\mathcal{L}$ ,  $(\frac{\partial}{\partial v}, \frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^{n-2}})$  span  $\mathcal{L}^\perp$ , and

$$\bullet \quad h = 2 \, dvdu + \underbrace{\sum_{i=1}^{n-2} A_i dx^i du}_{= \phi_u} + fdu^2 + \underbrace{\sum_{i,j=1}^{n-2} g_{ij} dx^i dx^j}_{= g_u},$$

family of 1-forms
family of Riem. metrics

with  $\frac{\partial g_{ij}}{\partial v} = \frac{\partial A_i}{\partial v} = 0$ ,  $f \in C^\infty(M)$ .

- $\exists$  parallel null vector field  $\iff \frac{\partial f}{\partial v} = 0$ . [Schimming '74]:  $A_i = f = 0$ .
- [Galaev/TL '09]:  $\exists$  coordinates such that  $A_i = 0$ .

**Note:**  $Hol_p(g_u) \subset pr_{SO(n-2)} Hol_p(h)$ , but in general  $\neq$  (see Galaev's examples on next slides)



# Manifolds of uncoupled holonomy type

## Construction method for the uncoupled types

Let  $(N^{n-2}, g)$  be a Riemannian manifold and  $f \in C^\infty(\mathbb{R}^2 \times N)$  “sufficiently generic”. Then  $M = \mathbb{R}^2 \times N$  with the metric  $h := 2dvdu + fdu^2 + g$  is indecomposable, non irreducible with holonomy

$$(\mathbb{R}^+ \times \text{Hol}(N, g)) \ltimes \mathbb{R}^{n-2} \quad \text{or} \quad \text{Hol}(N, g) \ltimes \mathbb{R}^{n-2}, \quad \text{if } \frac{\partial f}{\partial v} = 0$$

Example:  $(M, h)$  **pp-wave**  $\iff g \equiv$  flat metric.

[TL '01]: An indecomposable Lorentzian mfd. has **Abelian** holonomy  $\mathbb{R}^{n-2}$   
 $\iff$  it is a pp-wave.

- E.g. Symmetric spaces (Cahen-Wallach spaces)  $\iff f$  is a quadratic polynomial in the  $x^i$ 's.
- Plane waves:  $f$  is a quadratic polynomial in the  $x^i$ 's with coefficients depending on  $u$  [Hull-Figueroa O'Farrill-Papadopoulos '02].

## Coupled types — Proof of Theorem [Galaev '05]

For a Riemannian holonomy algebra  $\mathfrak{g}$ , fix  $Q_1, \dots, Q_N$ , a basis of  $\mathcal{B}(\mathfrak{g})$ , and define polynomials on  $\mathbb{R}^{n-1}$ :

$$A_i(x^1, \dots, x^{n-2}, u) := \sum_{A=1}^N \sum_{k,l=1}^{n-2} \frac{1}{(A-1)!} \langle Q_A(e_k)e_l, e_i \rangle x^k x^l u^A.$$

### Theorem (Galaev '05)

For any indecomposable  $\mathfrak{h} \subset \mathfrak{so}(1, n-1)_L$ , for which  $\mathfrak{g} = \text{proj}_{\mathfrak{so}(n-2)}(\mathfrak{h})$  is a Riemannian holonomy algebra, there exists an analytic  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  such that the following Lorentzian metric has holonomy  $\mathfrak{h}$ :

$$h = 2dvdu + fdu^2 + \underbrace{2 \sum_{i=1}^{n-2} A_i dx^i du}_{\text{family of 1-forms on } \mathbb{R}^n} + \underbrace{\sum_{k=1}^{n-2} (dx^k)^2}_{\text{flat metric}},$$

## Coordinates for Einstein manifolds

[Gibbons/Pope '08]: Lorentzian Einstein mf with parallel null line and Einstein constant  $\Lambda$  written in Walker coordinates  $\Rightarrow$

$$f = \Lambda v^2 + v f_1 + f_0 \quad \text{with } f_{0/1} = f_{0/1}(x^1, \dots, x^{n-2}, u).$$

Theorem (Galaev/TL '09)

Let  $(M, g)$  be a Lorentzian Einstein mf. with parallel null line with  $\Lambda \neq 0$ . Then  $\exists$  coordinates  $(v, x^1, \dots, x^{n-2}, u)$  such that the metric is given as

$$g = 2dvdu + (\Lambda v^2 + f_0) du^2 + g_{kl} dx^k dx^l$$

with  $f_1 = f_1(x^i, u)$  and  $g_{kl} = g_{kl}(x^i, u)$  a *family of Riemannian Einstein metrics* for  $\Lambda$  satisfying

$$\Delta f_0 = -\frac{1}{2} g^{ij} \ddot{g}_{ij}, \quad \nabla^j \dot{g}_{ij} = 0, \quad g^{ij} \dot{g}_{ij} = 0.$$

Conversely, any such Lorentzian metric is Einstein with constant  $\Lambda$ .

# Coordinates for Ricci-flat manifolds

## Theorem (Galaev/TL '09)

Let  $(M, g)$  be a Lorentzian Ricci-flat mf. with parallel null line. Then  $\exists$  coordinates  $(v, x^1, \dots, x^{n-2}, u)$  such that the metric is given as

$$g = 2dvdu + vf_1 du^2 + g_{kl} dx^k dx^l$$

with  $f_1 = f_1(x^i, u)$  a *harmonic function* w.r.t. the  $x^i$ 's and  $g_{kl} = g_{kl}(x^i, u)$  a *family of Ricci-flat Riemannian metrics* satisfying

$$\frac{1}{2} \dot{g}^{ij} \dot{g}_{ij} + g^{ij} \ddot{g}_{ij} + \frac{1}{2} g^{ij} \dot{g}_{ij} f_1 = 0 \quad \text{and} \quad \partial_i f_1 = \partial_i (g^{jk} \dot{g}_{jk}) - \nabla^j \dot{g}_{ij}.$$

Conversely, any such Lorentzian metric is Ricci flat.

# Open Problems

Study *Lorentzian manifolds with special holonomy* !

- 1 Find global examples of metrics with prescribed holonomy, which are **globally hyperbolic** with **complete** or **compact** Cauchy hypersurface (cylinder constructions in [Bär-Gauduchon-Moroianu '05] and [Baum-Müller '06])
- 2 Describe the geometric structures corresponding to the **coupled types III and IV**.
- 3 Describe indecomposable, non-irreducible **Lorentzian homogeneous spaces** and their holonomy.
- 4 Study further spinor field equations for these manifolds (Killing spinors, generalised Killing spinors).

Thank you!

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